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## Sarofim ICAV

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An Irish collective asset-management vehicle constituted as an umbrella fund with segregated liability between sub-funds with registration number C422942 and authorised by the Central Bank of Ireland pursuant to the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations 2011

### **UK COUNTRY SUPPLEMENT ADDITIONAL INFORMATION FOR INVESTORS IN THE UNITED KINGDOM**

15 March 2021

**This Supplement contains information specific to investors in the United Kingdom regarding Sarofim ICAV (the “ICAV”). It forms part of and must be read in conjunction with the Prospectus of the ICAV dated 09 March 2021, as amended and supplemented from time to time (the “Prospectus”).**

All capitalised terms used herein contained shall have the same meaning in this Supplement as in the Prospectus, unless otherwise indicated.

In connection with the ICAV’s recognition under section 264 of the Financial Services and Markets Act 2000, as amended (“**FSMA**”), the ICAV has appointed Carne Financial Services (UK) LLP, (the “**Facilities Agent**”) to maintain the facilities required of the operator of a recognised scheme pursuant to the rules contained in the Collective Investment Schemes Sourcebook published by the UK Financial Conduct Authority (the “**FCA**”) as part of the FCA’s Handbook of Rules and Guidance. Such facilities will be located at 2nd Floor, 107 Cheapside, London EC2V 6DN, United Kingdom. At these facilities, any person may:

- (1) inspect (free of charge) a copy of:
    - (a) the ICAV’s Instrument;
    - (b) any document amending the ICAV’s Instrument;
    - (c) the latest Prospectus of the ICAV;
    - (d) the latest key investor information documents of the ICAV and its Funds; and
    - (e) the annual and half-yearly reports most recently prepared and published by the ICAV;
  - (2) obtain copies of all the above documents free of charge, in accordance with 9.4.2 COLL;;
  - (3) obtain information (in English) about the most recently published prices relating to the Shares of any Fund;
  - (4) arrange for the redemption of Shares in any Fund and obtain payment; and
  - (5) make a complaint about the operation of the ICAV, which complaint the Facilities Agent will transmit to the ICAV.
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The ICAV have appointed Carne Financial Services (UK) LLP as the Facilities Agent at normal commercial rates.

Some or all of the rules made under FSMA for the protection of retail clients will not apply to an investment in the ICAV and compensation under the Financial Services Compensation Scheme of the United Kingdom will not be available.

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The Directors of the ICAV, whose names appear under the heading "Directors of The ICAV" in the Prospectus are the persons responsible for the information contained in this Supplement and the Prospectus of the ICAV dated 09 March 2021 and accept responsibility accordingly. To the best of the knowledge and belief of the Directors (who have taken all reasonable care to ensure that such is the case) the information contained in this document is in accordance with the facts and does not omit anything likely to affect the import of the information.

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